

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Richard P. TRACY et al.

Serial No. 09/822,868

Filed: April 2, 2001



: Group Art Unit: 2857

: Examiner: Felix E. Suarez

For: SYSTEM, METHOD AND MEDIUM FOR CERTIFYING AND ACCREDITING
REQUIREMENTS COMPLIANCE

INFORMATION DISCLOSURE STATEMENT

Honorable Commissioner for Patents
Alexandria, VA 22313-1450

Sir:

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TECHNOLOGY CENTER 2800

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached form PTO-1449. It is respectfully requested that the documents be expressly considered during the prosecution of this application, and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being filed (a) within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits and (b) concurrently with a Request for Continuing Examination. No certification or fee is required.

In accordance with 37 C.F.R. § 1.97(g) and (h), the filing of this IDS should not be construed as a representation that a search had been made or that information cited is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56 (b), or that any cited document listed or attached is (or constitutes) prior art. Unless otherwise indicated, the date of publication indicated for an item is taken from the face of the item, and Applicant reserves the right to prove that the date of publication is in fact different.

No fee is believed to be required; however, the Commissioner is authorized to charge any deficiency in any fees pursuant to 37 CFR § 1.17 associated with this communication and to credit any excess payment to Deposit Account No. 08-0219.

Respectfully submitted,

HALE AND DORR LLP

A handwritten signature in black ink, appearing to read "Scott M. Alter".

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INFORMATION DISCLOSURE CITATION IN AN APPLICATION

(PTO-1449)

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09/822,868

APPLICANT
Richard P. Tracy et al.

FILING DATE
April 2, 2001

GROUP
2857

U.S. PATENT DOCUMENTS

[illegible]

FOREIGN PATENT DOCUMENTS

EXAMINER'S INITIALS	PATENT NO.	DATE	COUNTRY	CLASS	SUBCLASS	Translation	
						Yes	No

OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

✓	December 26, 1985. "Department of Defense Trusted Computer System Evaluation Criteria." DoD 5200.28-STD.
✓	July 31, 2000. "Department of Defense Information Technology Security Certification and Accreditation Process (DITSCAP): Application Manual." DoD 8510.1-M.
✓	January 23, 2003. International Search Report from PCT/US02/28179 (note that all references were cited previously in an IDS submission filed on April 4, 2003).
✓	April 11, 2003. International Preliminary Examination Report from PCT/US00/09842 (note that all references were cited previously by the Examiner in a Notice of References Cited attached to the Office Action mailed June 5, 2002 and cited by the Applicant in an IDS submission filed on November 12, 2002).

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.